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K. Daniel

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97**

1c971 U.S. PTO  
09/29/01  
08/13/01

**Invent rs:** Jyh-Ming Jong, Drew G. Doblar and Daniel R. Cassiday  
**Title:** OPTICAL TRANSMITTER FOR TRANSMITTING A PLURALITY OF OUTPUT SIGNALS  
**Filing Date:** August 13, 2001  
**Serial Number:** Unknown  
**Group Art Unit:** Unknown  
**Examiner:** Unknown

On an enclosed Form PTO-1449 is information known to applicant(s) and submitted pursuant to 37 C.F.R. § 1.56. A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. § 1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. The Examiner is requested to initial and return the enclosed Form PTO-1449 in accordance with MPEP § 609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56.

☒ This statement qualifies under 37 C.F.R. § 1.97, subsection (b) because (check all that apply):

- ☒ (1) It is being filed within 3 months of the application filing date  
-- OR --  
☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --  
☒ (3) It is being filed before the mail date of the first Office Action on the merits.

☐ 37 C.F.R. § 1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in § 1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, then:

☐ a certification as specified in § 1.97(e) is provided below; or

☐ a fee of \$240.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement. Please note that a check in the amount of \$240.00 is enclosed in payment.

☐ 37 C.F.R. § 1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, but before payment of the issue fee, then:

A. a certification as specified in § 1.97(e) is completed below; and

- B. a petition under 37 C.F.R. § 1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$130.00 as set forth in § 1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ Statement under 37 C.F.R. § 1.97(e) - I hereby certify that either: each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the information disclosure statement; or no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

Name: Hoyt A. Fleming III  
Reg. No.: 41,752

Signature: \_\_\_\_\_

*Hoyt A. Fleming III*

Date: \_\_\_\_\_

8-13-01

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PATENT TRADEMARK OFFICE

<b>INFORMATION DISCLOSURE CITATION</b>  PTO-1449				ATTY. DOCKET NO. SUN-P5892-RJL		APPLICATION NO. Unknown	
				APPLICANTS Jyh-Ming Jong, Drew G. Doblar, and Daniel R. Cassiday			
				FILING DATE August 13, 2001		GROUP ART UNIT Unknown	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	6,181,757	Jan. 30, 2001	Neumann	375	375	Apr. 27, 1998	
	6,178,212	Jan. 23, 2001	Akashi	375	355	Mar. 16, 1998	
	5,991,339	Nov. 23, 1999	Bazes	375	232	Jan. 16, 1998	
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	InfiniBand Architecture Release 1.0a, Jun. 19, 2001, Chapter 6: High Speed Electrical Signaling – 2.5 Gb/s						
	InfiniBand Architecture Release 1.0a, Jun. 19, 2001, Chapter 8: Fiber Attachment – 2.5 Gb/s						
EXAMINER				DATE CONSIDERED			

10971 U.S. PRO 09/29/01



EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.